

Compliance Chat

October 22, 2024



MIKE DEWINE
GOVERNOR OF OHIO





Welcome and Introductions



AGENDA

- **DCY Updates**
- **Continuous Certification for Agencies**
- **OCAF and training**
- **What monitoring look like**
- **Questions**
- **Reminders**



DCY Updates

- OCAF (Ohio Certification for Agencies and Families) will go live on January 1, 2025. Certification for families is being developed for a future phase.
- Continuous Certification rules are expected to be effective on January 1, 2025.

GUEST SPEAKERS

Dave Beck
Liz (Elizabeth) Holzworth
Kelly Weaver
Nancy Gress

Continuous Certification for Agencies

OHIO REVISED CODE CHANGES

- Eliminated termed certificates and recertification process for DCY-certified institutions, associations, foster caregivers, and private nonprofit therapeutic wilderness camps
 - 5103.02, 5103.03, 5103.0313, 5103.0314, 5103.032, 5103.0322, 5103.0323, 5103.0326, 5103.033, 5103.05

DEVELOPMENT OF CONTINUOUS CERTIFICATION

- Two Year Process that included stakeholder feedback:
 - Listening Sessions
 - Held six sessions separated by function type
 - Gathered feedback to support development of policy
 - MORRPH (Microburst on Reviewing Rules Policies Hearings)
 - Held six sessions separated by function type
 - Gathered feedback on draft policies
 - Responses to feedback sent to participants
 - Clearance Process
- OAC Rules will be effective 1/1/2025

OVERVIEW OF CONTINUOUS CERTIFICATION

- Initial Certificate
 - Four Years
- Continuous Certificate
 - Once approved, continuously certified until agency or home withdraws, is administratively closed or revoked
 - Periodic updates
 - Most updates occur every four years

AGENCIES

Initial Certification

Current Future

JFS 01290 needs to be completed more than 120 days before operation



Application submitted via CCWIS



Application is not filed until it is submitted and stamped by the correct field office



After 12 months of inactivity, application may become invalid, and agency will need to reapply



Certificate must be visible to visitors



Valid for two years unless revoked



Valid for at least four years until continuous certification is issued



Update Process

Current Future

1290 must be completed at the end of year two for recertification



An application must be completed at the end of year four for continuous certification



Audit updates per statute every two years



All audits must show the agency operated in a fiscally accountable manner



Any records requested by DCY must be produced



FOSTER HOMES

Initial Certification

Current Future

JFS 01691 must be completed	●	
Application submitted via CCWIS or could be submitted via JFS 01691		●
Home Study must be initiated within 30 days of completed application	●	●
Home Study and necessary items must be completed within 180 days	●	●
Expires after two years and recertification is due	●	
Apply for Continuous Certification at the end of year four		●

Update Process

Current Future

1331 end of year two for recertification	●	
1331 end of year four for continuous certification		●
1331 every two years after initial	●	
Reapplication every two years	●	
Updates throughout the life of the license		●

FOSTER HOMES UPDATE DOCUMENTS

	Current			Future		
	2 years	4 years	Required	2 years	4 years	Required
Training	●		●	●		●
Background Checks		●	●		●	●
1385	●		●		●	●
1348	●		●		●	●
Well Water Test	●		●		●	●
Immunization Records	●		●		●	●
1681	●		●		●	
1653	●		●		●	
Fire Inspection	●					

Ohio Certification for Agencies and Families (OCAF)

WHAT IS OCAF?

- OCAF stands for **Ohio Certification for Agencies and Families**.
- OCAF gives prospective agencies and families the ability to **inquire, apply** and **become certified agency or certified foster and/or adoptive caregiver** with any agency in the state of Ohio.
- DCY will use OCAF to certify all agencies and eventually certify foster caregivers and approve adoptive caregivers.

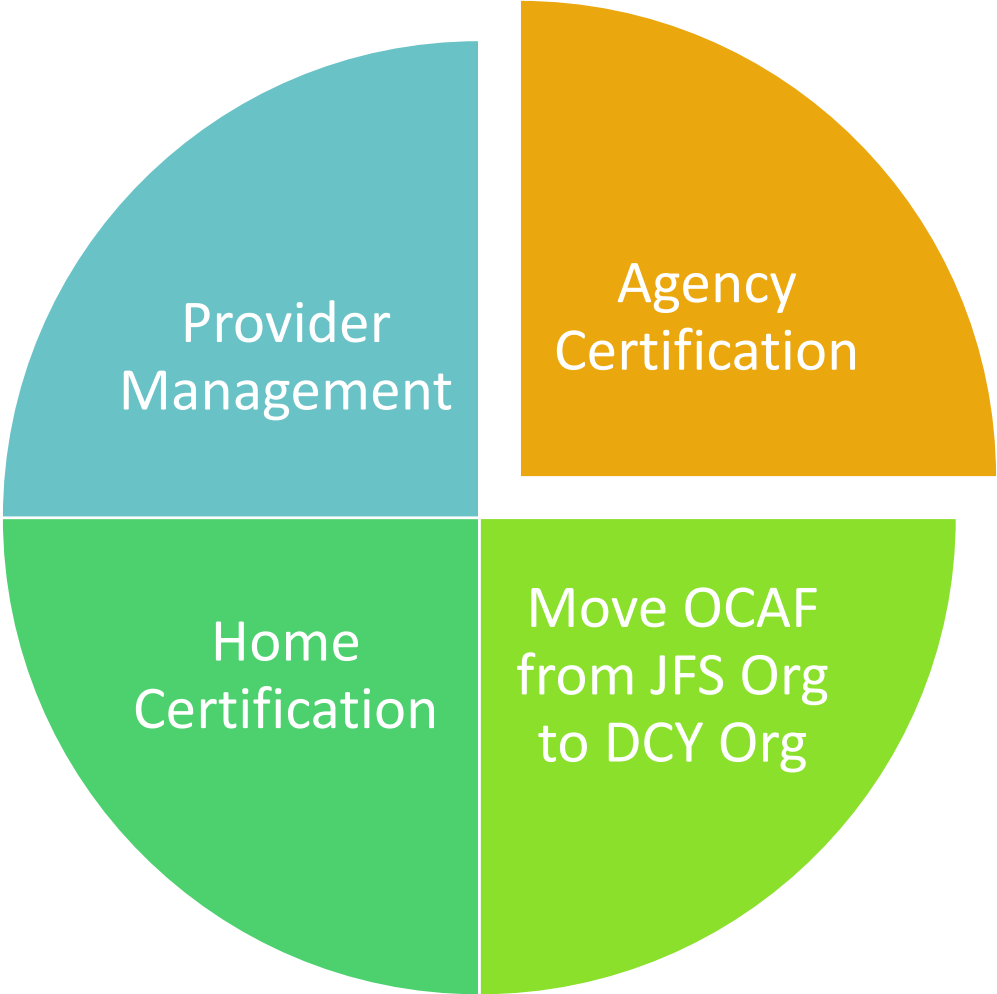
OCAF GOALS

- Provides access to data & information
 - Foster & adoptive caregivers, prospective caregivers, and agencies will be able to access information about their applications, certification process, and placements in realtime.
- Creates uniform information
 - Allowing for easy transfers between agencies.
 - Streamlining the inquiry, application, and certification process for caregivers.
- Ease of Use
 - 24/7 availability.
 - Easily upload, view, and send required documents.
 - Easy communication with your agency or state licensing specialist.
- Live Chat with an agent for technical troubleshooting.
- Easily match children throughout the state with providers through Child Match.

WHAT EXISTS IN OCAF TODAY?

- Inquiry for prospective foster and adoptive caregivers
- Application for prospective foster and adoptive caregivers
- Ability to submit Critical Incident Reports to DCY
- Child Match

WHAT IS PLANNED FOR OCAF?



NEXT PHASE: AGENCY CERTIFICATION

- All of Ohio's recommending agencies and certified congregate care facilities, such as group homes and residential, will use OCAF to complete certification activities from inquiry and application through initial certification and ongoing certification activities.
- Licensing Specialists, who currently certify and monitor these agencies and facilities, will complete all their activities within OCAF for a streamlined process.
- Go-Live Functionality:
 - Initial inquiry
 - Application to become an agency or facility
 - Initial certification process
 - Post-Certification Reviews (Full, Focused, and Technical Assistance)
 - Complaints
 - Corrective Action Plans
 - Visits
 - Alerts, Notifications
 - Integration with Ohio SACWIS

NEXT PHASE: AGENCY CERTIFICATION

- Replace Ohio's current agency certification system, OCALM, with a more user-friendly and intuitive system.
- Benefit future and current foster and adoptive caregivers in Ohio, as recommending agencies will already be established in and utilizing OCAF leading up to the Home Certification phase.
- Post Go-Live Functionality:
 - Amendments
 - Closure

TRAINING

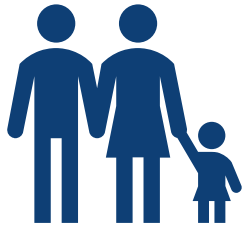
- Trainings will be offered to internal teams in November
- Trainings will be offered to external teams in December and January
- Links to register for trainings will be in Tuesday Times published on October 29, 2024
- Links also available on OCAF/Continuous Certification SharePoint Site

RESOURCES

- Links also available on [OCAF/Continuous Certification SharePoint Site](#)
- [Knowledge Base Article](#) on signing into SharePoint
- Knowledge Base Article for [Accessing OCAF](#)

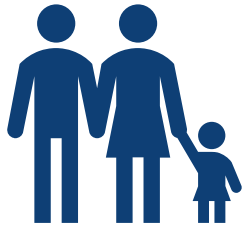
Strength Based Monitoring in Continuous Certification

MONITORING



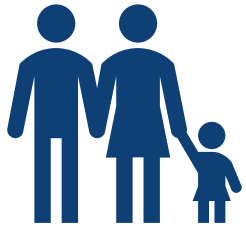
- **Strengths based monitoring (high need/high touch, low need/low touch).**
- **Front load supports for new agencies during the initial 4 year certification period.**
- **Emphasis shift to more on-site observation.**
 - **Unannounced visits**
 - **Non-traditional hour visits**
- **Each agency will have a full or focused review every 9-15 months**

MONITORING FULL REVIEW



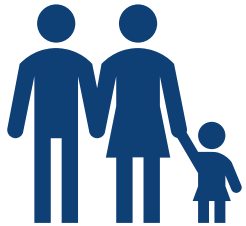
- **Similar to current recertification reviews but there will no longer be an application process**
- **No longer need to submit board meeting minutes to your specialist**
- **Required plans, policies, and documents will be uploaded one time and only resubmitted through OCAF when there is a change.**
- **Everyone starting at a full review to create a baseline**
- **Full reviews could happen as little as every 3 years based on compliance**

MONITORING FOCUSED REVIEW



- **For highly compliant agencies.**
 - **Eligibility for a focused review will be based on results of the previous review.**
 - **80% or higher total previous review compliance**
 - **Absence of non compliance in critical health and safety areas.**
 - **Focus of review is health and safety**
 - **Fewer records will be reviewed**
 - **Fewer foster home visits (all residential sites will still be visited)**

MONITORING QUALITY APPROACH TO MONITORING



- Provide more support and guidance to help agencies provide quality care to youth.
 - Technical Assistance
 - Resource Identification
 - Connections to other agencies who are doing things well
- Reviewing to the intent of the rule.
- Coming Soon! QRTP Monitoring Guide
 - Tools and resources to help agencies implement highly effective QRTP strategies
 - *Self Assessment, examples, surveys

*These are not required tools



Questions

Reminders



- Keep up to date and [Sign up for Tuesday Times](#)
- Keep up to date on [Continuous Certification and OCAF Information](#)
- If you received this meeting invitation as a forward, please remember to register to get updates and reminders: [DCY Guidance Letter- 24-020 Compliance Chat Information](#)

THANK YOU!
